Disclosure Checklist

When Access May Be Denied:

(a) The information is protected by solicitor-client privilege;

(b) To do so would reveal confidential commercial information (ie claim reserves, commissions etc);

(c) To do so could reasonably be expected to threaten the life or security of another individual;

(d) The information was generated in the course of a formal dispute resolution process.

(e) Granting the access would likely reveal personal information of another individual who does not consent to granting the access and it is not possible to sever the requested information from the personal information of the other individual.

Request that Insurer review file prior to disclosure issued. Yes No

Request that E &O carrier review file prior to disclosure issued. Yes No

Doc. No.	General Description of Record or Record Categories	Page/ Para No.	Release Yes/No	Section(s) Applied	Comments/Explanations